

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HUELER
Serial No.: 09/520,825
Filed: March 8, 2000
Title: ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR FACILITATING FINANCIAL TRANSACTION BIDDING AND REPORTING PROCESSES

Examiner: Unassigned
Group Art Unit: 2768
Docket: HUEC.300US01

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to : Assistant Commissioner for Patents, Washington, D.C. 20231 on August 7, 2002.

By: Tracey Dotter
Tracey Dotter

REQUEST FOR CHANGE OF CORRESPONDENCE ADDRESS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir or Madam:

Please update the attorney docket number as reflected above (HUEC.300US01), and change the correspondence Address for the above -referenced application as follows:

Steven R. Funk
Crawford PLLC
1270 Northland Drive, Suite 390
St. Paul, MN 55120
Phone: 651-686-6633

The undersigned is the attorney of record for the above-identified application.

Respectfully submitted,

Crawford PLLC
1270 Northland Drive, Suite 390
St. Paul, MN 55120
Phone: 651-686-6633

Date:

Aug. 7, 2002

By:

Steven R. Funk
Steven R. Funk
Reg. No. 37,830
SRF/tmd

*Letter
+ change
7 of address
10-2-03*

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HUELER *et al.* Examiner: Unassigned
Serial No.: 09/520,825 Group Art Unit: 2768
Filed: March 8, 2000 Docket No.: HUEC.300US01
Title: ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR
FACILITATING FINANCIAL TRANSACTION BIDDING AND
REPORTING PROCESSES

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 16, 2002.

By: Tracey Dotter
Tracey Dotter

STATUS INQUIRY

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Kindly advise the undersigned of the present status of this application and please indicate when a communication from the Patent and Trademark Office may be expected.

Respectfully submitted,

CRAWFORD PLLC
1270 Northland Drive
Suite 390
St. Paul, MN 55120
(651) 686-6633

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Date: Aug. 5, 2002

Steven R. Funk
Steven R. Funk
Reg. No. 37,830

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AUG 28 2003

GROUP 3600

FORMAL ENTRY

3663

CRAWFORD MAUNU PLLC
Attorneys at Law
1270 Northland Drive, Suite 390
St. Paul, Minnesota 55120
651-686-6633 • fax 651-686-7111

FACSIMILE

| | | | |
|-----------------------------------|-----------------|------------|--|
| DATE: | August 27, 2003 | SER. NO.: | 09/520,825 ✓ |
| ATTN: | Examiner Dass | FROM: | Steven Funk |
| FAX NO.: | (703) 872-9326 | DOCKET NO. | HUEC.300US01 |
| PHONE NO.: | 6 | | |
| NO. OF PAGES (w/ cover sheet): | | SUBJECT: | <u>Communication</u> <u>regarding</u> <u>abandonment</u> |

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AUG 28 2003
GROUP 3600

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NOTICE OF CONFIDENTIALITY AND
ATTORNEY-CLIENT PRIVILEGED/WORK PRODUCT INFORMATION

This memorandum and the transmission it accompanies contain confidential information. The attorney-client privilege and the attorney work-product doctrines may protect this confidential information. This confidential information is to be reviewed only by the addressee identified above. If you have received this transmission in error, you are instructed to destroy all pages immediately and to call the sender at the telephone number indicated above.

SERIAL NO. 09/520,825

PATENT APPLICATION

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| | | | |
|--------------|---|-----------------|--------------|
| Applicant: | HUELER | Examiner: | Dass |
| Serial No.: | 09/520,825 | Group Art Unit: | 3628 |
| Filing Date: | March 8, 2000 | Docket No.: | HUEC.300US01 |
| Title: | ELECTRONIC COMMUNICATION SYSTEM AND METHOD FOR FACILITATING FINANCIAL TRANSACTION BIDDING AND REPORTING PROCESSES | | |

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the papers, as described hereinabove, are being transmitted via facsimile only-Formal Entry, to the attention of Examiner Dass at the U.S. Patent and Trademark Office on August 27, 2003.

Facsimile No. (703) 872-9326

By:

Tracey M. Dotter

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FAX RECEIVED**AUG 28 2003****GROUP 3600**

Dear Sir:

On August 27, 2003, the undersigned attorney of record received a telephone message from Examiner Harish Dass. The undersigned attorney returned the call, and Examiner Dass indicated that the 6-month period to file a response to an Office Action for patent application serial number 09/520,825 had expired. This situation was unknown to the Applicant and undersigned attorney of record, and in fact a status inquiry was filed for this case as recently as July 24, 2003.

Through my discussion with Examiner Dass, it was discovered that the Office Action was mailed to a previous law firm, Altera Law Group, of the undersigned attorney of record. However, the undersigned attorney had filed a Request for Change of Correspondence Address (including a 1.8 Certificate) with the United States Patent and Trademark Office that was mailed on August 7, 2002 requesting the correspondence address be changed to Crawford PLLC, 1270 Northland Drive, Suite 390, St. Paul, MN

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55120. A copy of the request is included with this Communication. The Examiner indicated that he would retrieve the file, investigate further, and contact me again.

In the follow-up telephone conversation with the Examiner, Examiner Dass indicated that the Office Action was mailed to a previous address of Altera Law Group; therefore, Altera Law Group did not receive the Office Action, and the Office Action was returned to the U.S. Patent and Trademark Office as undeliverable. At this point, the Examiner suggested that the undersigned attorney contact his supervisory examiner, Examiner Sam Sough. Mr. Sough contacted the undersigned attorney, and indicated that the previously-submitted Request for Change of Correspondence Address (and two previously-submitted Status Inquiries that were also not in the USPTO file) be resubmitted. The purpose of this Communication is to resubmit these documents, which are included with this Communication.

Therefore, the Applicant hereby resubmits the Request for Change of Correspondence Address dated August 7, 2002, and also resubmits the two Status Inquiries dated August 6, 2002 and July 24, 2003. The Applicant respectfully requests that the Office Action be mailed to the undersigned attorney of record at the correct address as provided in the Request for Change of Correspondence Address, or otherwise be provided with assistance as to the manner in which the Applicant may now proceed.

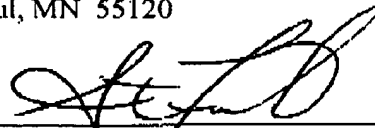
The undersigned attorney would like to thank Examiner Dass and Examiner Sough for their courteous and prompt assistance that they provided today. The undersigned attorney of record may be contacted at 651-686-6633 (extension 110) to discuss any issues related to this matter.

Respectfully submitted,

Crawford Maunu PLLC
1270 Northland Drive, Suite 390
St. Paul, MN 55120

Date: August 27, 2003

By:


Steven R. Funk
Reg. No. 37,830